

Mail Stop Patent Application  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Docket No: AM100986  
Patent

21909 U.S. PTO  
10/621698  
07/17/03

NEW APPLICATION FOR TRANSMITTAL

Transmitted herewith for filing is the patent application of the following Inventor(s):  
Ronald Charles BERNOTAS and Steven Edward LENICEK;  
For: 1-HETEROCYCLYLALKYL-3-SULFONYLINDOLE OR -INDAZOLE  
DERIVATIVES AS 5-HYDROXYTRYPTAMINE-6 LIGANDS.

1. Papers enclosed which are required for a filing date under 35 CFR 1.53(b):

- ☒ Pages of specification – 34 pages  
☐ Sequence Listing – \_\_\_\_\_ pages on:  
☐ CD Rom or CD-R (2 copies); or  
☐ paper  
☒ Pages of claims – 9 pages  
☒ Page(s) of abstract – 1 pages  
☐ Sheets of drawing – \_\_\_\_\_ pages  
☐ Formal  
☐ Informal

2. Additional papers enclosed
- ☒ Information Disclosure Statement
  - ☒ Form PTO-1449
  - ☒ Citations
  - ☐ Declaration of Biological Deposit
  - ☐ Computer Readable Form of Sequence Listing
  - ☐ Declaration Under 37 CFR 1.821(f)
  - ☒ Application Data Sheet
  - ☐ Other:

3. Declaration
- ☒ Enclosed and executed by all inventor(s)
  - ☐ Not enclosed or not executed by all inventor(s)

**CERTIFICATE OF MAILING 37 CFR §1.10**

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date written below in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EV100601453US addressed to the Mail Stop Patent Application, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Date

July 17, 2003

Carole K. Kamrad  
Carole K. Kamrad

4. Assignment

An assignment of the invention to:

Wyeth  
Five Giralda Farms  
Madison, NJ 07940

- ☐ was made in the prior application and recorded in PTO on \_\_\_\_\_, Reel \_\_\_\_\_, Frame \_\_\_\_\_.
- ☒ is attached under separate Recordation Form Cover Sheet.
- ☐ will follow.

5. Filing Fee Calculation

CLAIMS FEE				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA x RATE		(4) BASIC FEE
				\$750.00
TOTAL CLAIMS	20		x \$ 18.00	0.00
INDEPENDENT CLAIMS	4	1	x \$ 84.00	84.00
MULTIPLE DEPENDENCY FEE			\$ 280.00	
		Total Filing Fee:		\$834.00

6. Method of Payment of Fees:

Charge Deposit Account No. 01-1425 in the amount of \$834.00.  
A duplicate of this transmittal is attached.

7. Instructions as to Overpayment:

Credit any overpayment to Deposit Account No. 01-1425.

8. General Authorization:

During the pendency of this application treat any reply requiring a petition for extension of time for its timely submission as containing a request therefor for the appropriate length of time. The Commissioner is hereby authorized to charge all required extension of time fees during the entire pendency of this application to Deposit Account No. 01-1425.

9. Authorization to Charge Additional Fees

- ☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. 01-1425
- ☒ 37 CFR 1.16(a), (f), or (g) filing fees
- ☒ 37 CFR 1.16(b), (c), and (d) presentation of extra claims
- ☒ 37 CFR 1.16(e) surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application.
- ☒ 37 CFR 1.17 application processing fees

10. Relate back (35 USC 119(e))

- ☐ Amend the Specification by inserting before the first line the sentence:

—This application claims priority from copending provisional application(s)  
application number 60/ filed on .—

11. **REQUEST AND CERTIFICATION UNDER 35 U.S.C 122(b)(2)(B)(i).**

- ☐ A request not to publish this application and certification under 35 U.S.C. 122(b)(2)(B)(i) is attached.

12. Correspondence Address and Telephone Number

SEND CORRESPONDENCE TO:

Customer Number: 25291

Bar Code:

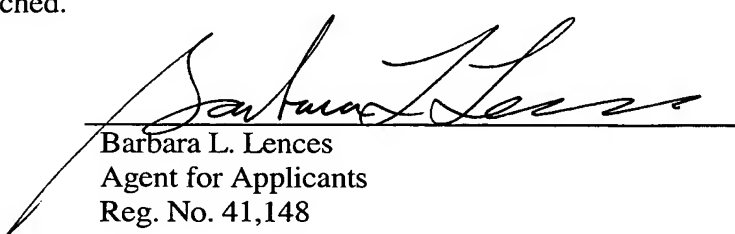


DIRECT ALL TELEPHONE CALLS TO:

Name: Barbara L. Lences

Tel. No. (732) 274-4678

13. ☒ Return Receipt Postcard is attached.

  
Barbara L. Lences  
Agent for Applicants  
Reg. No. 41,148

Wyeth  
Patent Law Department  
Five Giralda Farms  
Madison, NJ 07940  
Tel. No. (732) 274-4678

Recordation Form Cover Sheet  
Patents Only

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

To the Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Submission Type

- ☒ New  
☐ Resubmission (Non-Recordation)  
Document ID #  
☐ Correction of PTO Error  
Reel #            Frame #  
☐ Corrective Document  
Reel #            Frame #

2. Conveyance Type:

- |  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> License        | <input type="checkbox"/> Security Agreement |
| <input type="checkbox"/> Other                 | <input type="checkbox"/> Change of Name | <input type="checkbox"/> Merger             |

3. Conveying party(ies):

Ronald Charles BERNOTAS  
Steven Edward LENICEK

Additional name(s) of conveying party(ies) attached:

- ☐ Yes  
☒ No

Execution Date: Ronald Charles BERNOTAS - June 19, 2003  
Steven Edward LENICEK - June 19, 2003

4. Receiving party(ies):

Wyeth  
Five Giralda Farms  
Madison, New Jersey 07940

Additional name(s) and address(es) attached:

- ☐ Yes  
☒ No

5. Correspondent address:

Barbara L. Lences  
Wyeth  
Patent Law Department  
Five Giralda Farms  
Madison, NJ 07940  
Tel. No. (732) 274-4678

6. ☒ If this document is being filed together with a new application, the execution date of the application is June 19, 2003.

Application number(s) or patent number(s):

- ☐ Patent Application Number(s):  
☐ Patent Number(s):  
☐ Patent Cooperation Treaty (PCT) Application Number(s) (only if a U.S. Application Number has not been assigned):

Additional numbers attached:

- ☐ Yes  
☒ No

7. Pages: Enter the total number of pages of the attached conveyance document including any attachments: 3
8. Total number of properties involved: 1
9. Total Fee (37 CFR 3.41): \$40.00  
☐ Enclosed  
☒ Authorized to be charged to deposit account.

10. Deposit Account Number: 01-1425

Authorization is given to charge any additional fees to deposit account.  
(Attach duplicate copy of this page if paying by deposit account).

11. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

June 26, 2003  
Date

Barbara L. Lences  
Barbara L. Lences  
Agent for Applicants  
Reg. No. 41,148